

S H Kelkar and Company Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

As on 30th September 2020

1.	Name of Listed Entity: S H Kelkar And Company Limited			
2.	Scrip Code/Name of Scrip/Class of Security: 539450			
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
1		Whether the Listed Entity has issued any partly paid up shares?		No
2		Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3		Whether the Listed Entity has any shares against which depository receipts are issued?		No
4		Whether the Listed Entity has any shares in locked-in?		No
5		Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				
<p>GENERATED ON :06/10/2020 NSDL : 30/09/2020 CDSL :30/09/2020</p>				

S H Kelkar And Company Limited
Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	18	8,47,66,817	0	0	8,47,66,817	59.98	8,47,66,817	-	8,47,66,817	61.42	0	59.98	0	0	33,000	0.04	8,47,66,817
(B)	Public	35,413	5,32,47,555	0	0	5,32,47,555	37.68	5,32,47,555	-	5,32,47,555	38.58	0	37.68	0	0	NA	NA	5,32,47,555
(C)	Non Promoter - Non Public	-	-	0	0	-	0.00	-	-	-	0.00	0	0.00	0	0	NA	NA	-
(C1)	Shares Underlying DRs	-	-	0	0	-	0.00	-	-	-	0.00	0	0.00	0	0	NA	NA	-
(C2)	Shares Held By Employee Trust	1	33,06,429	0	0	33,06,429	2.34	-	-	-	0.00	0	2.34	0	0	NA	NA	33,06,429
	Total	35,432	14,13,20,801	0	0	14,13,20,801	100.00	13,80,14,372	-	13,80,14,372	100.00	0	100.00	0	0	33,000	0.02	14,13,20,801

GENERATED ON :06/10/2020 NSDL : 30/09/2020 CDSL :30/09/2020

S H Kelkar And Company Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class e.g: X	Class e.g: y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Indian																		
(a) Individuals / Hindu Undivided Family	10	5,37,57,069	-	-	5,37,57,069	38.04	5,37,57,069	-	5,37,57,069	38.95	-	38.04	-	-	33,000	0	5,37,57,069	
Ramesh Vinayak Vaze	1	2,59,15,024	-	-	2,59,15,024	18.34	2,59,15,024	-	2,59,15,024	18.78	-	18.34	-	-	-	-	2,59,15,024	
Kedar Ramesh Vaze	1	1,72,54,614	-	-	1,72,54,614	12.21	1,72,54,614	-	1,72,54,614	12.50	-	12.21	-	-	33,000	0	1,72,54,614	
Prabha Ramesh Vaze	1	49,14,514	-	-	49,14,514	3.48	49,14,514	-	49,14,514	3.56	-	3.48	-	-	-	-	49,14,514	
Anagha Sandeep Nene	1	15,14,267	-	-	15,14,267	1.07	15,14,267	-	15,14,267	1.10	-	1.07	-	-	-	-	15,14,267	
Nandan Kedar Vaze	1	13,18,000	-	-	13,18,000	0.93	13,18,000	-	13,18,000	0.95	-	0.93	-	-	-	-	13,18,000	
Parth Kedar Vaze	1	13,18,000	-	-	13,18,000	0.93	13,18,000	-	13,18,000	0.95	-	0.93	-	-	-	-	13,18,000	
Neha Kedar Karmarkar	1	7,61,250	-	-	7,61,250	0.54	7,61,250	-	7,61,250	0.55	-	0.54	-	-	-	-	7,61,250	
Nishant Kedar Karmarkar	1	7,61,250	-	-	7,61,250	0.54	7,61,250	-	7,61,250	0.55	-	0.54	-	-	-	-	7,61,250	
Sumedha Kedar Karmarkar	1	150	-	-	150	0.00	150	-	150	0.00	-	0.00	-	-	-	-	150	
Nihar Sandeep Nene	1	0	-	-	0	0.00	0	-	0	0.00	-	0.00	-	-	-	-	0	
(b) Central Government / State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Financial Institutions / Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Any Other (Specify)	7	1,57,80,748	-	-	1,57,80,748	11.17	1,57,80,748	-	1,57,80,748	11.43	-	11.17	-	-	-	-	1,57,80,748	
Promoter Trust	3	20,19,200	-	-	20,19,200	1.43	20,19,200	-	20,19,200	1.46	-	1.43	-	-	-	-	20,19,200	
Vinayak Ganesh Vaze Charities	1	20,19,000	-	-	20,19,000	1.43	20,19,000	-	20,19,000	1.46	-	1.43	-	-	-	-	20,19,000	
Kedar Ramesh Vaze Family Trust	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	-	-	-	-	100	
Ramesh Vinayak Vaze Family Trust	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	-	-	-	-	100	
Bodies Corporate	4	1,37,61,548	-	-	1,37,61,548	9.74	1,37,61,548	-	1,37,61,548	9.97	-	9.74	-	-	-	-	1,37,61,548	
Keva Constructions Pvt Ltd	1	1,06,91,139	-	-	1,06,91,139	7.57	1,06,91,139	-	1,06,91,139	7.75	-	7.57	-	-	-	-	1,06,91,139	
SKIndustries Private Limited	1	15,22,500	-	-	15,22,500	1.08	15,22,500	-	15,22,500	1.10	-	1.08	-	-	-	-	15,22,500	
ASN Investment Advisors Private Limited	1	15,14,167	-	-	15,14,167	1.07	15,14,167	-	15,14,167	1.10	-	1.07	-	-	-	-	15,14,167	
Keva Investment Partners	1	33,742	-	-	33,742	0.02	33,742	-	33,742	0.02	-	0.02	-	-	-	-	33,742	
Sub Total (A)(1)	17	6,95,37,817	-	-	6,95,37,817	49.21	6,95,37,817	-	6,95,37,817	50.38	-	49.21	-	-	33,000	0	6,95,37,817	
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e) Any Other (Specify)	1	1,52,29,000	-	-	1,52,29,000	10.78	1,52,29,000	-	1,52,29,000	11.03	-	10.78	-	-	-	-	1,52,29,000	
Bodies Corporate	1	1,52,29,000	-	-	1,52,29,000	10.78	1,52,29,000	-	1,52,29,000	11.03	-	10.78	-	-	-	-	1,52,29,000	
Knj Industries Pte Limited	1	1,52,29,000	-	-	1,52,29,000	10.78	1,52,29,000	-	1,52,29,000	11.03	-	10.78	-	-	-	-	1,52,29,000	
Sub Total (A)(2)	1	1,52,29,000	-	-	1,52,29,000	10.78	1,52,29,000	-	1,52,29,000	11.03	-	10.78	-	-	-	-	1,52,29,000	
Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)	18	8,47,66,817	-	-	8,47,66,817	59.98	8,47,66,817	-	8,47,66,817	61.42	-	59.98	-	-	33,000	0	8,47,66,817	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

S H Kelkar And Company Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Institutions																		
(a) Mutual Fund	3	52,16,174	-	-	52,16,174	3.69	52,16,174	-	52,16,174	3.78	-	3.69	-	-	NA	NA		52,16,174
IDFC Multi Cap Fund	1	28,09,670	-	-	28,09,670	1.99	28,09,670	-	28,09,670	2.04	-	1.99	-	-	NA	NA		28,09,670
Tata Mutual Fund - Tata Small Cap Fund	1	24,06,248	-	-	24,06,248	1.70	24,06,248	-	24,06,248	1.74	-	1.70	-	-	NA	NA		24,06,248
(b) Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
(c) Alternate Investment Funds	2	2,55,000	-	-	2,55,000	0.18	2,55,000	-	2,55,000	0.18	-	0.18	-	-	NA	NA		2,55,000
(d) Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
(e) Foreign Portfolio Investor	21	1,22,57,412	-	-	1,22,57,412	8.67	1,22,57,412	-	1,22,57,412	8.88	-	8.67	-	-	NA	NA		1,22,57,412
Sichting Depository Apg Emerging Markets Equity Pool	1	54,42,807	-	-	54,42,807	3.85	54,42,807	-	54,42,807	3.94	-	3.85	-	-	NA	NA		54,42,807
Kotak Mahindra (International) Limited	1	20,82,318	-	-	20,82,318	1.47	20,82,318	-	20,82,318	1.51	-	1.47	-	-	NA	NA		20,82,318
Harding Lovener International Small Companies Portfolio	1	15,68,501	-	-	15,68,501	1.11	15,68,501	-	15,68,501	1.14	-	1.11	-	-	NA	NA		15,68,501
(f) Financial Institutions / Banks	2	253	-	-	253	0.00	253	-	253	0.00	-	0.00	-	-	NA	NA		253
(g) Insurance Companies	1	11,80,825	-	-	11,80,825	0.84	11,80,825	-	11,80,825	0.86	-	0.84	-	-	NA	NA		11,80,825
(h) Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
(i) Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
Sub Total (B)(1)	29	1,89,09,664	-	-	1,89,09,664	13.38	1,89,09,664	-	1,89,09,664	13.70	-	13.38	-	-	NA	NA		1,89,09,664
2 Central Government/ State Government(s)/ President of India																		
Sub Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
3 Non-Institutions																		
(a) Individuals																		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	32,964	1,06,52,014	-	-	1,06,52,014	7.54	1,06,52,014	-	1,06,52,014	7.72	-	7.54	-	-	NA	NA		1,06,52,014
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	72	48,56,778	-	-	48,56,778	3.44	48,56,778	-	48,56,778	3.52	-	3.44	-	-	NA	NA		48,56,778
(b) NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
Trust Employee	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
(d) Overseas Depositories(holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA		-
(c) Any Other (Specify)	2,348	1,88,29,099	-	-	1,88,29,099	13.32	1,88,29,099	-	1,88,29,099	13.64	-	13.32	-	-	NA	NA		1,88,29,099
Trusts	4	38,681	-	-	38,681	0.03	38,681	-	38,681	0.03	-	0.03	-	-	NA	NA		38,681
Hindu Undivided Family	1,415	9,21,108	-	-	9,21,108	0.65	9,21,108	-	9,21,108	0.67	-	0.65	-	-	NA	NA		9,21,108
Foreign Companies	2	1,45,34,391	-	-	1,45,34,391	10.28	1,45,34,391	-	1,45,34,391	10.53	-	10.28	-	-	NA	NA		1,45,34,391
Blackstone Capital Partners (Singapore) Vi Fdi Two Pte. Ltd.	1	1,44,39,269	-	-	1,44,39,269	10.22	1,44,39,269	-	1,44,39,269	10.46	-	10.22	-	-	NA	NA		1,44,39,269
Non Resident Indians (Non Repat)	177	1,21,166	-	-	1,21,166	0.09	1,21,166	-	1,21,166	0.09	-	0.09	-	-	NA	NA		1,21,166
Non Resident Indians (Repat)	390	4,67,726	-	-	4,67,726	0.33	4,67,726	-	4,67,726	0.34	-	0.33	-	-	NA	NA		4,67,726
Foreign Portfolio Investor (Individual)	1	340	-	-	340	0.00	340	-	340	0.00	-	0.00	-	-	NA	NA		340
Clearing Member	139	3,26,142	-	-	3,26,142	0.23	3,26,142	-	3,26,142	0.24	-	0.23	-	-	NA	NA		3,26,142
Bodies Corporate	220	24,19,545	-	-	24,19,545	1.71	24,19,545	-	24,19,545	1.75	-	1.71	-	-	NA	NA		24,19,545
Sub Total (B)(3)	35,384	3,43,37,891	-	-	3,43,37,891	24.30	3,43,37,891	-	3,43,37,891	24.88	-	24.30	-	-	NA	NA		3,43,37,891
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	35,413	5,32,47,555	-	-	5,32,47,555	37.68	5,32,47,555	-	5,32,47,555	38.58	-	37.68	-	-	NA	NA		5,32,47,555

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :06/10/2020

NSDL : 30/09/2020

CDSL :30/09/2020

S H Kelkar And Company Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	33,06,429	-	-	33,06,429	2.34	0.00	0.00	0.00	0.00	-	2.34	-	-	NA	NA	33,06,429
	Barclays Wealth Trustees India Private Limited		33,06,429	-	-	33,06,429	2.34	0.00	0.00	0.00	0.00	-	2.34	-	-	NA	NA	33,06,429
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	33,06,429	-	-	33,06,429	2.34	0.00	0.00	0.00	0.00	-	2.34	-	-	NA	NA	33,06,429

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.